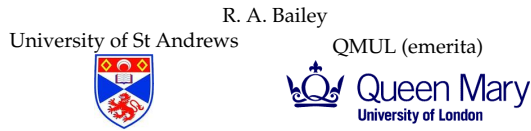


## Designs for variety trials with very low replication



Tenth Working Seminar on  
 Statistical Methodology in Variety Trials,  
 Będłwo, Poland, 1 July 2014

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## Abstract

In the early stages of testing new varieties, it is common that there are only small quantities of seed of many new varieties.

In the UK (and some other countries with centuries of agriculture on the same land) variation within a field can be well represented by a division into blocks.

Even when that is not the case, subsequent phases (such as testing for milling quality, or evaluation in a laboratory) have natural blocks, such as days or runs of a machine.

I will discuss how to arrange the varieties in a block design when the average replication is less than two.

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## Variety Testing

In breeding trials of new varieties, typically there is very little seed of each of the new varieties.

Traditionally, an experiment has one plot for each new variety and several plots for a well-established "control": for example, 30 new varieties on one plot each and one control on 8 plots.

In the last 10 years, Cullis and colleagues in Australia (and independently Bueno and Gilmour) have suggested replacing many occurrences of the the control by double replicates of a small number of new varieties: for example, 24 new varieties with one plot each, 6 new varieties with two plots each, and the control on two further plots.

This is an improvement if there are no blocks.

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## How do we allow for variation between the plots?

"... on any given field agricultural operations, at least for centuries, have followed one of two directions, which are usually those of the rows and columns; consequently streaks of fertility, weed infestation, etc., do, in fact, occur predominantly in those two directions."

R. A. Fisher,  
 letter to H. Jeffreys,  
 30 May 1938  
 (selected correspondence edited by J. H. Bennett)

(This assumption is dubious for field trials in Australia.)

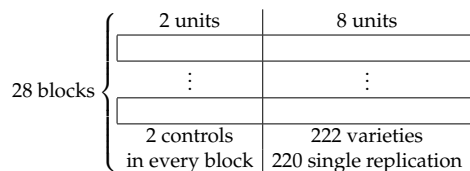
If field operations have been primarily in one direction for a long time, then it is reasonable to divide the fields into blocks whose length runs along that direction.

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## Blocking in the second phase

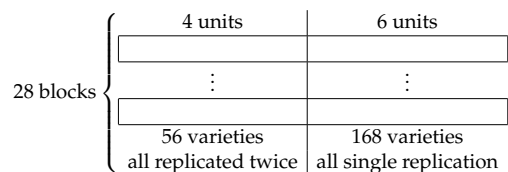
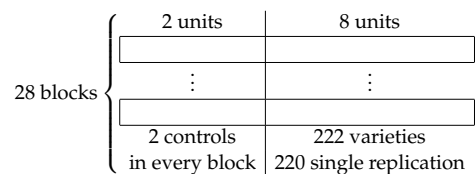
The milling phase of a wheat variety trial has 224 varieties to be compared. Only 10 can be milled in any one day. The trial can take place over 28 days, so there are 28 blocks of size 10.

There are only  $280 - 224 = 56$  experimental units "spare" for replication. How should these be allocated?



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## Two possible designs for 224 varieties in 28 blocks of 10



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## The problem

We are given  $b$  blocks of size  $k$ . We are given  $v$  varieties.  
Assume that

$$\text{average replication} = \bar{r} = \frac{bk}{v} \leq 2.$$

How should we allocate varieties to blocks?  
What makes a block design good?

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## Linear model, estimation and variance

We measure the response  $Y$  on each unit in each block.

If that unit has variety  $i$  and block  $D$ , then we assume that

$$Y = \tau_i + \beta_D + \text{random noise},$$

where the random noise is independently normally distributed with zero mean and constant variance  $\sigma^2$ .

We want to estimate all the simple differences  $\tau_i - \tau_j$ .

Put

$$V_{ij} \sigma^2 = \text{variance of the best linear unbiased estimator for } \tau_i - \tau_j.$$

We want all the  $V_{ij}$  to be small.

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## Optimality

Apart from the constant multiple  $\sigma^2$ ,

$$V_{ij} = \text{variance of the BLUE for } \tau_i - \tau_j.$$

Put

$$V_T = \sum_{i=1}^{v-1} \sum_{j=i+1}^v V_{ij} = \text{sum of variances of variety differences.}$$

### Definition

For given values of  $b$  (the number of blocks),  
 $k$  (the size of the blocks) and  $v$  (the number of varieties),  
a block design is **A-optimal** if it minimizes  $V_T$ .

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## An example with $5n + 10$ varieties in 5 blocks of size $4 + n$

1	2	3	4	$A_1$	$\dots$	$A_n$
3	4	5	6	$B_1$	$\dots$	$B_n$
5	6	7	8	$C_1$	$\dots$	$C_n$
7	8	9	0	$D_1$	$\dots$	$D_n$
9	0	1	2	$E_1$	$\dots$	$E_n$

How do we calculate pairwise variances in a generic design?

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## Levi graph

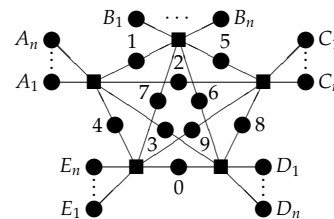
The **Levi graph** of the block design has

- ▶ one vertex for each variety
- ▶ one vertex for each block
- ▶ one edge for each plot (aka experimental unit), so that the edge for plot  $\omega$  joins the vertex for the variety on  $\omega$  to the vertex for the block containing  $\omega$ .

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## Levi graph: example

1	2	3	4	$A_1$	$\dots$	$A_n$
1	5	6	7	$B_1$	$\dots$	$B_n$
2	5	8	9	$C_1$	$\dots$	$C_n$
3	6	8	0	$D_1$	$\dots$	$D_n$
4	7	9	0	$E_1$	$\dots$	$E_n$



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## Electrical networks

We can consider the Levi graph as an electrical network with a 1-ohm resistance in each edge. Connect a 1-volt battery between vertices  $i$  and  $j$ . Current flows in the network, according to these rules.

1. **Ohm's Law:**  
In every edge,  
voltage drop = current  $\times$  resistance = current.
2. **Kirchhoff's Voltage Law:**  
The total voltage drop from one vertex to any other vertex is the same whichever path we take from one to the other.
3. **Kirchhoff's Current Law:**  
At every vertex which is not connected to the battery, the total current coming in is equal to the total current going out.

Find the total current  $I$  from  $i$  to  $j$ , then use Ohm's Law to define the **effective resistance**  $R_{ij}$  between  $i$  and  $j$  as  $1/I$ .

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## Electrical networks: variance

Reminder:  $V_{ij}$  = variance of BLUE of  $\tau_i - \tau_j$  for varieties  $i$  and  $j$ .

### Theorem

If  $R_{ij}$  is the effective resistance between variety vertices  $i$  and  $j$  in the Levi graph then

$$R_{ij} = V_{ij}.$$

Put:  $V_{CD}$  = variance of BLUE of  $\beta_C - \beta_D$  for blocks  $C$  and  $D$ ,  
 $V_{iC}$  = variance of BLUE of  $\tau_i + \beta_C$  for variety  $i$  and block  $C$ .

### Theorem

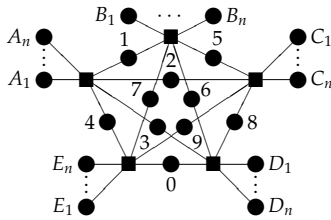
If  $R_{CD}$  and  $R_{iC}$  are the effective resistances between vertices  $C$  and  $D$ , and between  $i$  and  $C$  respectively, in the Levi graph then

$$R_{CD} = V_{CD} \quad \text{and} \quad R_{iC} = V_{iC}.$$

Effective resistances are easy to calculate without matrix inversion if the graph is sparse.

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## Pairwise resistance (Remove $A_1, \dots, E_n$ to get $\Gamma$ )



$$\begin{aligned} \text{Resistance}(A_1, A_2) &= 2 \\ \text{Resistance}(A_1, B_1) &= 2 + \text{Resistance}(\text{block } A, \text{block } B) \text{ in } \Gamma \\ \text{Resistance}(A_1, 8) &= 1 + \text{Resistance}(\text{block } A, 8) \text{ in } \Gamma \\ \text{Resistance}(1, 8) &= \text{Resistance}(1, 8) \text{ in } \Gamma \end{aligned}$$

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## Silly names just for this talk

### Definition

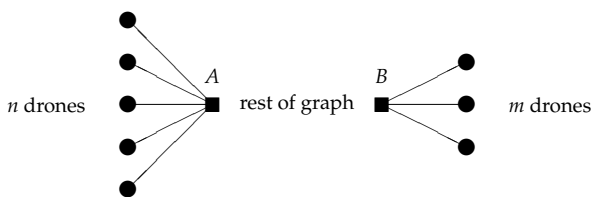
Call a variety a

- a **drone** if it has replication 1;
- a **queen-bee** if it occurs in every block;
- a **worker** otherwise.

Is it better to put all the drones into one block (or a few blocks), or are they better distributed equally among all the blocks?

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## How should we distribute the drones?



If we move all the drones in block  $B$  into block  $A$  then we reduce  $nm$  variances from  $2 + R_{AB}$  to 2.

Then we have to remove  $m$  non-drones from block  $A$ , and this increases the resistance between  $A$  and the rest of the graph. This increases the variances between these  $n + m$  drones and the remaining  $v - n - m$  varieties. This more than compensates for the original reduction in variance.

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## From now on, distribute drones as equally as possible

$b$ blocks	$k'$ plots	$n$ plots	whole design $\Delta$
	$\vdots$	$\vdots$	
	$v'$ varieties	$bn$ drones all single replication	

Whole design  $\Delta$  has  $v$  treatments in  $b$  blocks of size  $k = k' + n$ ; the subdesign  $\Gamma$  has  $v'$  **core** varieties in  $b$  blocks of size  $k'$ . (The core varieties may include extra drones.)

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Sum of the pairwise variances

Theorem (cf. Herzberg and Jarrett, 2007)

If there are  $n$  drones in each block of  $\Delta$ , and the core design  $\Gamma$  has  $v'$  varieties in  $b$  blocks of size  $k'$  then the sum of the variances of variety differences in  $\Delta$

$$= V_T(\Delta) = bn(bn + v' - 1) + V_T + nV_{BT} + n^2V_B,$$

where

- $V_T$  = the sum of the variances of variety differences in  $\Gamma$
- $V_B$  = the sum of the variances of block differences in  $\Gamma$
- $V_{BT}$  = the sum of the variances of sums of one treatment and one block in  $\Gamma$ .

Sum of variances in whole design if  $\Gamma$  is equi-replicate

$$V_T(\Delta) = bn(bn + v' - 1) + V_T + nV_{BT} + n^2V_B$$

- $V_T$  = the sum of the variances of variety differences in  $\Gamma$
- $V_B$  = the sum of the variances of block differences in  $\Gamma$
- $V_{BT}$  = the sum of the variances of sums of one treatment and one block in  $\Gamma$ .

If  $\Gamma$  is equi-replicate with replication  $r'$  then

$$\begin{aligned} \frac{k'}{b}V_B - b &= \frac{r'}{v'}V_T - v'; \\ V_{BT} &= \frac{2b}{v'}V_T + \frac{v'}{k'}(b - v' - 1), \end{aligned}$$

and so  $V_B$  and  $V_{BT}$  are both increasing functions of  $V_T$ .

Consequence

For a given choice of  $k'$ , use the core design  $\Gamma$  which minimizes  $V_T$ .

Sum of variances in whole design if there are many drones

$$V_T(\Delta) = bn(bn + v' - 1) + V_T + nV_{BT} + n^2V_B$$

- $V_T$  = the sum of the variances of variety differences in  $\Gamma$
- $V_B$  = the sum of the variances of block differences in  $\Gamma$
- $V_{BT}$  = the sum of the variances of sums of one treatment and one block in  $\Gamma$ .

Consequence

If  $n$  is large, we need to focus on reducing  $V_B$ , so it may be best to increase the number of drones and decrease  $k'$  (the size of blocks in the core design  $\Gamma$ ), so that average replication within  $\Gamma$  is more than 2.

Strategy

Given  $b, v$  and  $k$ , how do we find an A-optimal design for  $v$  varieties in  $b$  blocks of size  $k$  when

$$\frac{bk}{2} \leq v \leq b(k-1) + 1?$$

Average replication  $\leq 2$                       Maximum  $v$  for estimability

- Case 1.  $b = 2$  or  $b = 3$  (very small  $b$ ).
- Case 2.  $v = b(k-1) + 1$  (very large  $v$ ).
- Case 3.  $v = b(k-1)$  (very large  $v$ ).
- Case 4.  $k < b-1$  (small  $k$ ).
- Case 5.  $k \geq b-1$ .

Case 1. Only 2 blocks, of size  $k$

Morgan and Jin (2007) showed that the A-optimal designs are those with  $2n$  drones and  $q$  queen bees, where  $q = 2k - v$  and  $n = k - q$ .

1	2	3	4	...	$q$	$A_1$	$A_2$	$A_3$	...	$A_n$
1	2	3	4	...	$q$	$B_1$	$B_2$	$B_3$	...	$B_n$
queens						drones				

They also showed that, when  $2k - v$  is comparatively large, the **MV-optimal** designs (those designs that minimize the maximum of the pairwise variances  $V_{ij}$ ) have all the drones in the same block.

Case 1 continued. 3 blocks of size  $k$

Using exhaustive (and exhausting (and tedious)) case-by-case analysis, RAB has shown that the A-optimal designs are as follows when  $v$  is divisible by 3 (and presumably small changes deal with the other cases). There are  $3w$  workers and  $3n$  drones, where  $3w = 3k - v$  and  $n = k - 2w$ .

1	2	4	5	...	$3w-2$	$3w-1$	$A_1$	$A_2$	$A_3$	...	$A_n$
1	3	4	6	...	$3w-2$	$3w$	$B_1$	$B_2$	$B_3$	...	$B_n$
2	3	5	6	...	$3w-1$	$3w$	$C_1$	$C_2$	$C_3$	...	$C_n$
$w$ copies of design using all pairs from 3							drones				

**Case 2.  $v = b(k - 1) + 1$**

This is the maximum number of varieties that can be tested in  $b$  blocks of size  $k$  with all comparisons estimable.

Mandal, Shah and Sinha (1991), for  $k = 2$ , and Bailey and Cameron (2013), for general block size, showed that, no matter how many blocks there are, the A-optimal design has the following form.

1	$A_1$	$A_2$	$A_3$	...	$A_{k-1}$
1	$B_1$	$B_2$	$B_3$	...	$B_{k-1}$
1	$C_1$	$C_2$	$C_3$	...	$C_{k-1}$
1	$D_1$	$D_2$	$D_3$	...	$D_{k-1}$
1	$E_1$	$E_2$	$E_3$	...	$E_{k-1}$

1 queen                       $v - 1$  drones

**Case 3.  $v = b(k - 1)$**

The A-optimal designs were found for all cases by Krafft and Schaefer (1997).

small  $k$  and  $b$                       increase  $k$                       then increase  $b$

1	2	$A_1$
2	3	$B_1$
3	4	$C_1$
4	5	$D_1$
5	6	$E_1$
6	1	$F_1$

chain

1	2	$A_1$	$A_2$
2	3	$B_1$	$B_2$
3	1	$C_1$	$C_2$
1	$D_1$	$D_2$	$D_3$
1	$E_1$	$E_2$	$E_3$
1	$F_1$	$F_2$	$F_3$

small chain

1	2	$A_1$	$A_2$
1	2	$B_1$	$B_2$
1	$C_1$	$C_2$	$C_3$
1	$D_1$	$D_2$	$D_3$
1	$E_1$	$E_2$	$E_3$
1	$F_1$	$F_2$	$F_3$
1	$G_1$	$G_2$	$G_3$

1 queen

Youden and Connor (1953) had recommended chain designs.

**Case 3.  $v = b(k - 1)$  revisited**

**Theorem**

Consider a design with  $b$  blocks of size 2. For  $2 \leq s \leq b$ , let  $\Gamma_s$  be the design consisting of a chain of length  $s$ , one of whose varieties is in all blocks outside the chain, while all other varieties are drones. Then

$$V_B(\Gamma_s) = \frac{1}{6}[-s^3 + 2bs^2 - (6b - 4)s + 6b^2 - 5b].$$

**Consequence**

- If  $b = 3$  then  $V_B(\Gamma_2) > V_B(\Gamma_3)$  so there is no need for queens.
- If  $b = 4$  then  $V_B(\Gamma_2) = V_B(\Gamma_4) < V_B(\Gamma_3)$ , but  $V_T(\Gamma_2) > V_T(\Gamma_4)$  and  $V_{BT}(\Gamma_2) > V_{BT}(\Gamma_4)$ , so do not use  $\Gamma_2$  or  $\Gamma_3$  (no need for queens).
- If  $b \geq 5$  then  $V_B(\Gamma_2) < V_B(\Gamma_3) < \dots < V_B(\Gamma_b)$ , so we need to use smaller chains as  $v$  gets larger.

**Case 4.  $k < b - 1$**

For various values of  $k_i \leq k$ , find the best core design  $\Gamma_i$  for  $v'_i$  varieties in  $b$  blocks of size  $k_i$ . (For equi-replicate core designs, it is often easier to find the best dual design, which is obtained by interchanging the roles of blocks and varieties.)

- $V_T(\Gamma_i)$  = the sum of the variances of variety differences in  $\Gamma_i$
- $V_B(\Gamma_i)$  = the sum of the variances of block differences in  $\Gamma_i$
- $V_{BT}(\Gamma_i)$  = the sum of the variances of sums of one treatment and one block in  $\Gamma_i$ .

If there are  $n_i$  drones in each block then, in the whole design  $\Delta$ ,

$$V_T(\Delta) = bn_i(bn_i + v'_i - 1) + V_T(\Gamma_i) + n_i V_{BT}(\Gamma_i) + n_i^2 V_B(\Gamma_i)$$

Use this formula to find the core design with the smallest  $V_T(\Delta)$ .

**Case 4 continued.  $k < b - 1$**

If there are  $n_i$  drones in each block then, in the whole design  $\Delta$ ,

$$V_T(\Delta) = bn_i(bn_i + v'_i - 1) + V_T(\Gamma_i) + n_i V_{BT}(\Gamma_i) + n_i^2 V_B(\Gamma_i)$$

As the number of varieties increases, it becomes more important to choose  $\Gamma_i$  with a small value of  $V_B(\Gamma_i)$ .

**Case 4 continued.  $k = 4 < b - 1$ ,  $V_B \div b(b - 1)/2$**

Best design for $b$ blocks known to RAB				
$k_i$	$\Gamma_1$	$\Gamma_2$	$\Gamma_3$	$\Gamma_4$
	2	3	3	4
	2 queens, both boring	2 queens, 2 workers (rep 2)	$b$ workers rep 3	$2b$ workers rep 2
$b = 6$	1	1 <sup>-</sup>	0.85	0.87
$b = 7$	1	1 <sup>-</sup>	0.86	0.92
$b = 8$	1	1 <sup>-</sup>	0.89	0.93
$b = 9$	1	1 <sup>-</sup>	0.92	
$b = 10$	1	1 <sup>-</sup>		
$b = 11$	1	1 <sup>-</sup>		
$b = 12$	1	1 <sup>-</sup>	0.98	
$b = 13$	1	1 <sup>-</sup>	1	1.07
$b = 14$	1	1 <sup>-</sup>		
$b = 15$	1	1 <sup>-</sup>	1.01	1.08

As  $v$  increases,  $\Gamma_3$  becomes better than  $\Gamma_4$ .

If  $b \geq 14$ , then, as  $v$  increases,  $\Gamma_1$  and  $\Gamma_2$  become better than  $\Gamma_3$ .

Case 4 continued.  $k < b - 1$  when  $b = 8$

$k = 6$ , and 24 varieties, all workers, all replicated twice.

1	2	3	4	5	6
7	8	9	10	11	12
1	7	13	14	15	16
2	8	17	18	19	20
3	9	13	17	21	22
4	10	14	18	23	24
5	11	15	19	21	23
6	12	16	20	22	24

(One worker for each pair of blocks except for  $\{A, B\}$ ,  $\{C, D\}$ ,  $\{E, F\}$  and  $\{G, H\}$ .)

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Case 4 continued.  $k = 5$  and  $k = 6$  when  $b = 8$

$k = 5$   
20 varieties:  
20 workers, no drones

1	2	3	4	5
6	7	8	9	10
1	11	12	13	14
2	6	15	16	17
3	7	11	18	19
4	8	12	15	20
5	9	13	16	18
10	14	17	19	20

$k = 6$   
28 varieties:  
20 workers, 8 drones

1	2	3	4	5	$A_1$
6	7	8	9	10	$B_1$
1	11	12	13	14	$C_1$
2	6	15	16	17	$D_1$
3	7	11	18	19	$E_1$
4	8	12	15	20	$F_1$
5	9	13	16	18	$G_1$
10	14	17	19	20	$H_1$

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Case 4 continued.  $k = 5$  and  $k = 6$  when  $b = 8$

$k = 5$   
24 varieties:  
16 workers, 8 drones

1	2	3	4	$A_1$
5	6	7	8	$B_1$
9	10	11	12	$C_1$
13	14	15	16	$D_1$
1	5	9	13	$E_1$
2	6	10	14	$F_1$
3	7	11	15	$G_1$
4	8	12	16	$H_1$

$k' = 4$   
rep 2

$k = 6$   
32 varieties:  
8 workers, 24 drones

1	2	4	$A_1$	$A_2$	$A_3$
2	3	5	$B_1$	$B_2$	$B_3$
3	4	6	$C_1$	$C_2$	$C_3$
4	5	7	$D_1$	$D_2$	$D_3$
5	6	8	$E_1$	$E_2$	$E_3$
6	7	1	$F_1$	$F_2$	$F_3$
7	8	2	$G_1$	$G_2$	$G_3$
8	1	3	$H_1$	$H_2$	$H_3$

$k' = 3$   
rep 3

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Case 4 continued.  $k = 5$  and  $k = 6$  when  $b = 8$

$k = 5$   
28 varieties:  
12 workers, 16 drones

1	2	3	$A_1$	$A_2$
1	4	5	$B_1$	$B_2$
4	6	7	$C_1$	$C_2$
6	8	9	$D_1$	$D_2$
2	8	10	$E_1$	$E_2$
5	10	11	$F_1$	$F_2$
7	11	12	$G_1$	$G_2$
3	9	12	$H_1$	$H_2$

$k = 6$   
36 varieties:  
12 workers, 24 drones

1	2	3	$A_1$	$A_2$	$A_3$
1	4	5	$B_1$	$B_2$	$B_3$
4	6	7	$C_1$	$C_2$	$C_3$
6	8	9	$D_1$	$D_2$	$D_3$
2	8	10	$E_1$	$E_2$	$E_3$
5	10	11	$F_1$	$F_2$	$F_3$
7	11	12	$G_1$	$G_2$	$G_3$
3	9	12	$H_1$	$H_2$	$H_3$

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Case 4 continued.  $k = 5$  and  $k = 6$  when  $b = 8$

Now we are also in Case 3 again!

$k = 5$   
32 varieties:  
1 queen, 1 worker,  
30 drones

1	2	$A_1$	$A_2$	$A_3$
1	2	$B_1$	$B_2$	$B_3$
1	$C_1$	$C_2$	$C_3$	$C_4$
1	$D_1$	$D_2$	$D_3$	$D_4$
1	$E_1$	$E_2$	$E_3$	$E_4$
1	$F_1$	$F_2$	$F_3$	$F_4$
1	$G_1$	$G_2$	$G_3$	$G_4$
1	$H_1$	$H_2$	$H_3$	$H_4$

$k = 6$   
40 varieties:  
1 queen, 1 worker,  
38 drones

1	2	$A_1$	$A_2$	$A_3$	$A_4$
1	2	$B_1$	$B_2$	$B_3$	$B_4$
1	$C_1$	$C_2$	$C_3$	$C_4$	$C_5$
1	$D_1$	$D_2$	$D_3$	$D_4$	$D_5$
1	$E_1$	$E_2$	$E_3$	$E_4$	$E_5$
1	$F_1$	$F_2$	$F_3$	$F_4$	$F_5$
1	$G_1$	$G_2$	$G_3$	$G_4$	$G_5$
1	$H_1$	$H_2$	$H_3$	$H_4$	$H_5$

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Case 5.  $k \geq b - 1$

$$\text{Now } v \geq \frac{bk}{2} \geq \frac{b(b-1)}{2}.$$

For simplicity, assume that  $b$  divides  $2v$ , and put

$$n = \frac{2v - bk}{b}.$$

Then  $n$  is the minimum number of drones per block.

Let  $\Gamma_0$  be the design for  $b(b-1)/2$  varieties replicated twice in  $b$  blocks of size  $b-1$  in such a way that there is one variety in common to each pair of blocks. This is A-optimal for these numbers.

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Case 5 continued.  $k \geq b - 1$  and  $v \geq b(b - 1)/2$

$n =$  minimal number of drones per block.

Construction Method

If  $k - n \geq b - 1$  then

1. put  $n$  drones in each block;
2. put in one copy of  $\Gamma_0$ ;
3. put in as many further copies of  $\Gamma_0$  as possible;
4. in any remaining space, use a good design for workers with replication 2 (so long as there is at least one copy of  $\Gamma_0$ , it probably doesn't make much difference which one is used).

Otherwise we are back in the same situation as Case 4 ( $k < b - 1$ ), but there are more drones necessary so it is more likely that we will have to move towards a core design with replication 3 or more, or even queens.

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Case 5. Example:  $b = 8$  and  $k = 15$  (so  $60 \leq v \leq 113$ )

60 varieties: all workers

1	2	3	4	5	6	7	29	30	31	32	33	34	35	57
1	8	9	10	11	12	13	29	36	37	38	39	40	41	57
2	8	14	15	16	17	18	30	36	42	43	44	45	46	58
3	9	14	19	20	21	22	31	37	42	47	48	49	50	58
4	10	15	19	23	24	25	32	38	43	47	51	52	53	59
5	11	16	20	23	26	27	33	39	44	48	51	54	55	59
6	12	17	21	24	26	28	34	40	45	49	52	54	56	60
7	13	18	22	25	27	28	35	41	46	50	53	55	56	60

one copy of  $\Gamma_0$  | another copy of  $\Gamma_0$

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Case 5. Example:  $b = 8$  and  $k = 15$  (so  $60 \leq v \leq 113$ )

76 varieties: 44 workers, 32 drones

1	2	3	4	5	6	7	29	30	31	32	A <sub>1</sub>	A <sub>2</sub>	A <sub>3</sub>	A <sub>4</sub>
1	8	9	10	11	12	13	33	34	35	36	B <sub>1</sub>	B <sub>2</sub>	B <sub>3</sub>	B <sub>4</sub>
2	8	14	15	16	17	18	37	38	39	40	C <sub>1</sub>	C <sub>2</sub>	C <sub>3</sub>	C <sub>4</sub>
3	9	14	19	20	21	22	41	42	43	44	D <sub>1</sub>	D <sub>2</sub>	D <sub>3</sub>	D <sub>4</sub>
4	10	15	19	23	24	25	29	33	37	41	E <sub>1</sub>	E <sub>2</sub>	E <sub>3</sub>	E <sub>4</sub>
5	11	16	20	23	26	27	30	34	38	42	F <sub>1</sub>	F <sub>2</sub>	F <sub>3</sub>	F <sub>4</sub>
6	12	17	21	24	26	28	31	35	39	43	G <sub>1</sub>	G <sub>2</sub>	G <sub>3</sub>	G <sub>4</sub>
7	13	18	22	25	27	28	32	36	40	44	H <sub>1</sub>	H <sub>2</sub>	H <sub>3</sub>	H <sub>4</sub>

$\Gamma_0$  | 16 workers replication 2 | drones

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Case 5. Example:  $b = 8$  and  $k = 15$  (so  $60 \leq v \leq 113$ )

92 varieties: 28 workers, 64 drones

1	2	3	4	5	6	7	A <sub>1</sub>	A <sub>2</sub>	A <sub>3</sub>	A <sub>4</sub>	A <sub>5</sub>	A <sub>6</sub>	A <sub>7</sub>	A <sub>8</sub>
1	8	9	10	11	12	13	B <sub>1</sub>	B <sub>2</sub>	B <sub>3</sub>	B <sub>4</sub>	B <sub>5</sub>	B <sub>6</sub>	B <sub>7</sub>	B <sub>8</sub>
2	8	14	15	16	17	18	C <sub>1</sub>	C <sub>2</sub>	C <sub>3</sub>	C <sub>4</sub>	C <sub>5</sub>	C <sub>6</sub>	C <sub>7</sub>	C <sub>8</sub>
3	9	14	19	20	21	22	D <sub>1</sub>	D <sub>2</sub>	D <sub>3</sub>	D <sub>4</sub>	D <sub>5</sub>	D <sub>6</sub>	D <sub>7</sub>	D <sub>8</sub>
4	10	15	19	23	24	25	E <sub>1</sub>	E <sub>2</sub>	E <sub>3</sub>	E <sub>4</sub>	E <sub>5</sub>	E <sub>6</sub>	E <sub>7</sub>	E <sub>8</sub>
5	11	16	20	23	26	27	F <sub>1</sub>	F <sub>2</sub>	F <sub>3</sub>	F <sub>4</sub>	F <sub>5</sub>	F <sub>6</sub>	F <sub>7</sub>	F <sub>8</sub>
6	12	17	21	24	26	28	G <sub>1</sub>	G <sub>2</sub>	G <sub>3</sub>	G <sub>4</sub>	G <sub>5</sub>	G <sub>6</sub>	G <sub>7</sub>	G <sub>8</sub>
7	13	18	22	25	27	28	H <sub>1</sub>	H <sub>2</sub>	H <sub>3</sub>	H <sub>4</sub>	H <sub>5</sub>	H <sub>6</sub>	H <sub>7</sub>	H <sub>8</sub>

$\Gamma_0$  | drones

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Case 5. Example:  $b = 8$  and  $k = 15$  (so  $60 \leq v \leq 113$ )

104 varieties: 8 workers, 96 drones  
(not 16 workers and 88 drones;  
this is the phase-change that we saw before with  $k = 6$ )

1	2	4	A <sub>1</sub>	A <sub>2</sub>	A <sub>3</sub>	A <sub>4</sub>	A <sub>5</sub>	A <sub>6</sub>	A <sub>7</sub>	A <sub>8</sub>	A <sub>9</sub>	A <sub>10</sub>	A <sub>11</sub>	A <sub>12</sub>
2	3	5	B <sub>1</sub>	B <sub>2</sub>	B <sub>3</sub>	B <sub>4</sub>	B <sub>5</sub>	B <sub>6</sub>	B <sub>7</sub>	B <sub>8</sub>	B <sub>9</sub>	B <sub>10</sub>	B <sub>11</sub>	B <sub>12</sub>
3	4	6	C <sub>1</sub>	C <sub>2</sub>	C <sub>3</sub>	C <sub>4</sub>	C <sub>5</sub>	C <sub>6</sub>	C <sub>7</sub>	C <sub>8</sub>	C <sub>9</sub>	C <sub>10</sub>	C <sub>11</sub>	C <sub>12</sub>
4	5	7	D <sub>1</sub>	D <sub>2</sub>	D <sub>3</sub>	D <sub>4</sub>	D <sub>5</sub>	D <sub>6</sub>	D <sub>7</sub>	D <sub>8</sub>	D <sub>9</sub>	D <sub>10</sub>	D <sub>11</sub>	D <sub>12</sub>
5	6	8	E <sub>1</sub>	E <sub>2</sub>	E <sub>3</sub>	E <sub>4</sub>	E <sub>5</sub>	E <sub>6</sub>	E <sub>7</sub>	E <sub>8</sub>	E <sub>9</sub>	E <sub>10</sub>	E <sub>11</sub>	E <sub>12</sub>
6	7	1	F <sub>1</sub>	F <sub>2</sub>	F <sub>3</sub>	F <sub>4</sub>	F <sub>5</sub>	F <sub>6</sub>	F <sub>7</sub>	F <sub>8</sub>	F <sub>9</sub>	F <sub>10</sub>	F <sub>11</sub>	F <sub>12</sub>
7	8	2	G <sub>1</sub>	G <sub>2</sub>	G <sub>3</sub>	G <sub>4</sub>	G <sub>5</sub>	G <sub>6</sub>	G <sub>7</sub>	G <sub>8</sub>	G <sub>9</sub>	G <sub>10</sub>	G <sub>11</sub>	G <sub>12</sub>
8	1	3	H <sub>1</sub>	H <sub>2</sub>	H <sub>3</sub>	H <sub>4</sub>	H <sub>5</sub>	H <sub>6</sub>	H <sub>7</sub>	H <sub>8</sub>	H <sub>9</sub>	H <sub>10</sub>	H <sub>11</sub>	H <sub>12</sub>

workers rep. 3 | drones

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Case 5. Example:  $b = 8$  and  $k = 15$  (so  $60 \leq v \leq 113$ )

108 varieties: 4 workers, 104 drones  
(not 12 workers and 96 drones;  
the larger block size forces us past another phase change)

1	2	A <sub>1</sub>	A <sub>2</sub>	A <sub>3</sub>	A <sub>4</sub>	A <sub>5</sub>	A <sub>6</sub>	A <sub>7</sub>	A <sub>8</sub>	A <sub>9</sub>	A <sub>10</sub>	A <sub>11</sub>	A <sub>12</sub>	A <sub>13</sub>
1	2	B <sub>1</sub>	B <sub>2</sub>	B <sub>3</sub>	B <sub>4</sub>	B <sub>5</sub>	B <sub>6</sub>	B <sub>7</sub>	B <sub>8</sub>	B <sub>9</sub>	B <sub>10</sub>	B <sub>11</sub>	B <sub>12</sub>	B <sub>13</sub>
3	4	C <sub>1</sub>	C <sub>2</sub>	C <sub>3</sub>	C <sub>4</sub>	C <sub>5</sub>	C <sub>6</sub>	C <sub>7</sub>	C <sub>8</sub>	C <sub>9</sub>	C <sub>10</sub>	C <sub>11</sub>	C <sub>12</sub>	C <sub>13</sub>
3	4	D <sub>1</sub>	D <sub>2</sub>	D <sub>3</sub>	D <sub>4</sub>	D <sub>5</sub>	D <sub>6</sub>	D <sub>7</sub>	D <sub>8</sub>	D <sub>9</sub>	D <sub>10</sub>	D <sub>11</sub>	D <sub>12</sub>	D <sub>13</sub>
1	3	E <sub>1</sub>	E <sub>2</sub>	E <sub>3</sub>	E <sub>4</sub>	E <sub>5</sub>	E <sub>6</sub>	E <sub>7</sub>	E <sub>8</sub>	E <sub>9</sub>	E <sub>10</sub>	E <sub>11</sub>	E <sub>12</sub>	E <sub>13</sub>
2	4	F <sub>1</sub>	F <sub>2</sub>	F <sub>3</sub>	F <sub>4</sub>	F <sub>5</sub>	F <sub>6</sub>	F <sub>7</sub>	F <sub>8</sub>	F <sub>9</sub>	F <sub>10</sub>	F <sub>11</sub>	F <sub>12</sub>	F <sub>13</sub>
1	4	G <sub>1</sub>	G <sub>2</sub>	G <sub>3</sub>	G <sub>4</sub>	G <sub>5</sub>	G <sub>6</sub>	G <sub>7</sub>	G <sub>8</sub>	G <sub>9</sub>	G <sub>10</sub>	G <sub>11</sub>	G <sub>12</sub>	G <sub>13</sub>
2	3	H <sub>1</sub>	H <sub>2</sub>	H <sub>3</sub>	H <sub>4</sub>	H <sub>5</sub>	H <sub>6</sub>	H <sub>7</sub>	H <sub>8</sub>	H <sub>9</sub>	H <sub>10</sub>	H <sub>11</sub>	H <sub>12</sub>	H <sub>13</sub>

workers rep. 4 | drones

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## Health Warning

The overall message is that there can be phase changes as the spare capacity for replication ( $bk - v$ ) decreases. Therefore it is necessary to compare core designs  $\Gamma_i$  with different block size  $k_i$ .

Although this overall message is correct, no one has checked the arithmetic in the examples presented, so individual cases may be wrong.

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