# Weakly neighbour-balanced designs 

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St Andrews CIRCA seminar, 19 February 2015

Joint work with Katarzyna Filipiak and Augustyn Markiewicz (Poznan University of Life Sciences), Joachim Kunert (TU Dortmund) and Peter Cameron (St Andrews)

## Small example: each treatment comes "once" per block

Wind $\rightarrow$

$$
\begin{array}{ccccccc}
6 \vdots 0 & 1 & 2 & 3 & 4 & 5 & 6 \\
\hline \hline 5 \vdots 0 & 2 & 4 & 6 & 1 & 3 & 5 \\
\hline \hline 3 \vdots 0 & 4 & 1 & 5 & 2 & 6 & 3 \\
\hline \hline 6 \vdots 0 & 1 & 2 & 3 & 4 & 5 & 6 \\
\hline \hline 5 \vdots 0 & 2 & 4 & 6 & 1 & 3 & 5 \\
\hline \hline 4 \vdots 0 & 3 & 6 & 2 & 5 & 1 & 4 \\
\hline \hline 3 \vdots 0 & 4 & 1 & 5 & 2 & 6 & 3 \\
\hline \hline 2 \vdots 0 & 5 & 3 & 1 & 6 & 4 & 2 \\
\hline \hline 1 \vdots 0 & 6 & 5 & 4 & 3 & 2 & 1 \\
\hline
\end{array}
$$

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\hline
\end{array} \quad s_{i j}: \begin{aligned}
& \text { \# times } i \text { is directly } \\
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\end{aligned}
$$

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| $6: 0$ | 1 | 2 | 3 | 4 | 5 | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $5 \vdots 0$ | 2 | 4 | 6 | 1 | 3 | 5 |

$s_{i j}:=$ \# times $i$ is directly
$s_{i j}:=$ upwind of $j$
$3 \vdots 0 \quad 4 \quad 1 \quad 5 \quad 2 \quad 6 \quad 3$
$6: 0$
$5: 0$

| $4!0$ | 3 | 6 | 2 | 5 | 1 | 4 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

$3: 0 \quad 4 \quad 1 \quad 5 \quad 2 \quad 6 \quad 3$
$2 \vdots 0 \quad 5 \quad 3 \quad 1 \quad 6 \quad 4 \quad 2$
$1: 0$


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| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

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\hline
\end{array}
$$

$$
\begin{array}{|lllllll|}
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\hline \hline
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$$

$$
1 \vdots 0
$$

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$2 \vdots 0 \quad 5 \quad 3 \quad 1 \quad 6 \quad 4 \quad 2$
$1: 0 \quad 6 \quad 5 \quad 4 \quad 3 \quad 2 \quad 1$

$S=$| 0 |
| :--- |
| 0 |
| 1 |
| 2 |
| 3 |
| 4 |
| 5 |
| 6 |\(\left(\begin{array}{lllllll}0 \& 1 \& 2 \& 3 \& 4 \& 5 \& 6 <br>

0 \& 2 \& 2 \& 1 \& 2 \& 1 \& 1 <br>
1 \& 0 \& 2 \& 2 \& 1 \& 2 \& 1 <br>
1 \& 1 \& 0 \& 2 \& 2 \& 1 \& 2 <br>
2 \& 1 \& 1 \& 0 \& 2 \& 2 \& 1 <br>
1 \& 2 \& 1 \& 1 \& 0 \& 2 \& 2 <br>
2 \& 1 \& 2 \& 1 \& 1 \& 0 \& 2 <br>
2 \& 2 \& 1 \& 2 \& 1 \& 1 \& 0\end{array}\right)\)

## Definitions of neighbour balance

A design with $t$ treatments each occurring once in each circular block of size $t$ is

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RAB and PJC gave some constructions and non-existence results.

## A 0,1-matrix

If we have a design which is weakly neighbour balanced but not neighbour balanced then $S$ has zero diagonal, some other entries $\lambda-1$ and some other entries $\lambda$. Put

$$
A=S-(\lambda-1)(J-I)
$$

Then

- $A$ is not zero;
- all entries of $A$ are in $\{0,1\}$;
- $A$ has zero diagonal;
- $A$ has constant row-sums and constant column-sums;
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We know something about (some) matrices like this!

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If Type I, then $A^{\top} A$ is completely symmetric, $A$ has $(t-1) / 2$ non-zero entries in each row and column, and $t \equiv 3 \bmod 4$. If Type II, then $A^{\top} A$ is completely symmetric.

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If Type I, then $A^{\top} A$ is completely symmetric, $A$ has $(t-1) / 2$ non-zero entries in each row and column, and $t \equiv 3 \bmod 4$. If Type II, then $A^{\top} A$ is completely symmetric. If Type III, then $A^{\top} A$ is not completely symmetric.

## Hooray for Type I

Theorem
If a WNBD is juxtaposed with a NBD and the result is a WNBD, then the starting WNBD either is a NBD or has Type I.

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Number the positions in each block $1,2, \ldots$, starting at the windy end.
Theorem
If a WNBD has the property that each numbered position has all treatments equally often, then it either is a NBD or has Type I.

## Type I: $A+A^{\top}$ and $A^{\top} A$ are both completely symmetric

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$t=7 \checkmark$
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$t=3 \checkmark$, but too small to separate direct effects from upwind effects
$t=7 \checkmark$
$t=11 \checkmark$
$t=15$ ? RAB tried using $A$ as the incidence matrix of $\operatorname{PG}(3,2)$ and proved that it is impossible.

## Type I and $t=15$

Reid and Brown give the following doubling construction.

$$
A_{2}=\left(\begin{array}{ccc}
A_{1}^{\top} & 0_{t} & A_{1}+I_{t} \\
1_{t}^{\top} & 0 & 0_{t}^{\top} \\
A_{1} & 1_{t} & A_{1}
\end{array}\right)
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If $A_{1}$ is Type I for $t$ then $A_{2}$ is Type I for $2 t+1$.

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Doing this with $t=7$ gives a doubly regular tournament $\Gamma_{2}$ on 15 vertices with an automorphism $\pi$ of order 7 .
If we can find a Hamiltonian cycle $\varphi$ in $\Gamma_{2}$ which has no edge in common with any of $\pi^{i}(\varphi)$ for $i=1, \ldots, 6$, then $\varphi, \pi(\varphi), \ldots, \pi^{6}(\varphi)$ make a WNBD.

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RAB tried and failed to do this by hand.

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If we can find a Hamiltonian cycle $\varphi$ in $\Gamma_{2}$ which has no edge in common with any of $\pi^{i}(\varphi)$ for $i=1, \ldots, 6$, then $\varphi, \pi(\varphi), \ldots, \pi^{6}(\varphi)$ make a WNBD.
RAB tried and failed to do this by hand.
PJC used GAP, and found 120 solutions.
KF put this $A_{2}$ into Mathematica and asked it to find Hamiltonian decompositions.

## Question



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Could we go directly from $\Delta_{1}$ to $\Delta_{2}$ ?

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Now we can regard $A$ as the incidence matrix of a balanced incomplete-block design, with blocks labelled so that the diagonal is zero.

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Using familiar tricks for constructing BIBDs (such as perfect difference sets), we can construct WNBDs.

We can also take advantage of symmetry to find a single Hamiltonian cycle whose images under a group of automorphisms of $\Gamma$ give the blocks of the WNBD.

Type III: $A^{\top} A-(\lambda-1)\left(A+A^{\top}\right)$ is completely symmetric, but $A^{\top} A$ and $\left(A+A^{\top}\right)$ are not

Some S-digraphs (Babai and Cameron) satisfy this.

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and $\left(\begin{array}{cccc}0 & 1_{t}^{\top} & 0 & 0_{t}^{\top} \\ 0_{t} & A_{1} & 1_{t} & A_{1} \\ 0 & 0_{t}^{\top} & 0 & 1_{t}^{\top} \\ 1_{t} & A_{1}^{\top} & 0_{t} & A_{1}\end{array}\right)$ has Type III for 2(t+1) treatments.

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$t=3$ leads to the only Type III WNBDs ( $t=6$ and $t=8$ ) found by KF and AM.

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Again, is there a way of going directly from the smaller design to the larger one?

